Israel, Jerusalem. 19-20 January 2014 ירושלים. יח'-יט' בשבט, תשע״ד

### STATE OF THE ART OF RESEARCH ON METHODS AND MODELS FOR SEISMIC RISK ASSESSMENT OF CH CONSTRUCTIONS AND SITES

Speaker: Prof. Claudio Modena





#### **SEISMIC RISK FACTORS: HAZARD + VULNERABILITY + EXPOSURE**



Probability of a seismic event of a given magnitude occurring in a certain interval of time.

A structure (building, bridge,..) potential for damage: probability of attaining a given level of damage due to a seismic event of a given intensity.

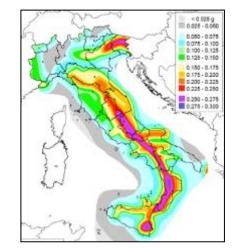
Losses – of the economy, of human lives, of cultural assets,.. – connected to the damages caused by a seismic event.

CHITECTURAL AND





#### SEISMIC RISK MITIGATION: assessment, interventions, plans







#### HAZARD





Single building- urban centers/territory

Structure's ruin – archaeological sites

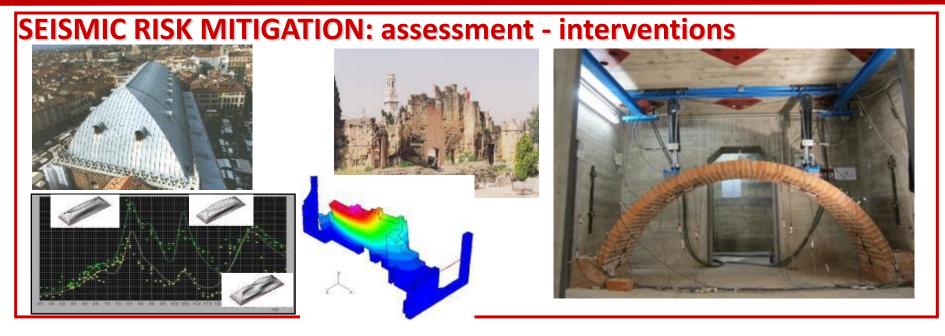
Single bridge- infrastructure networks

Single artistic object – museums



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MAJOR EFFORTS SOFAR FOCUSSED ON THE DEVELOPMENT OF CRITERIA, METHODLOGIES AND TECHNIQUES APPLICABLE TO CH CONSTRUCTIONS, ALLOWING FOR:

- ASSESSING THEIR "REAL" STRUCTURAL SAFETY LEVELS PRIOR AND AFTER INTERVENTIONS
- DEFINING "ACCEPTABLE" VALUES OF SUCH LEVELS
- ATTAINING THE "TARGET" SAFETY VIA APPROPRITE REPAIR/STRENGTHENING INTERVENTIONS

WHILE

RECOGNISING THEIR SPECIFIC STATIC/DYNAMIC BEHAVIUOR RESPECTING THEIR INTRINSIC HSTORIC/ARTISTIC VALUES





ירושלים. יח'-יט' בשבט, תשע״ד lsrael, Jerusalem. 19-20 January 2014

#### **RECENT EVOLUTION OF CODES AND GUIDELINES**



International Council on Monuments and Sites **Conseil International** des Monuments et des Sites

#### **RECOMMENDATIONS FOR THE ANALYSIS, CONSERVATION AND STRUCTURAL**

#### **RESTORATION OF ARCHITECTURAL HERITAGE**

#### Guidelines

- General criteria
- Acquisition of data: Information and Investigation 2.
  - 2.2 Historical and architectural investigations
  - 2.3 Investigation of the structure
  - Field research and laboratory testina 2.4
  - 2.5 Monitoring
- 3. Structural behaviour
  - 3.1 General aspects
  - The structural scheme and damage 3.2
  - Material characteristics and decay processes 3.3
  - 3.4 Actions on the structure and the materials

- Diagnosis and safety evaluation 4.
  - 4.1 General aspects
  - Identification of the causes (diagnosis) 4.2
- 4.3 Safety evaluation
- 4.3.1 The problem of safety evaluation
  - 4.3.2 Historical analysis
  - 4.3.3 Qualitative analysis
  - 4.3.4 The quantitative analytical approach
  - 4.3.5 The experimental approach
  - Judgement on safety 4.4
- Decisions on interventions The Explanatory Report 5.



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Seismic Risk Preparedness and Mitigation of Culture Heritage Sites מוכנות והיערכות לסיכוני רעידות אדמה באתרי מורשת תרבות Israel, Jerusalem. 19-20 January 2014 ירושלים. יח'-יט' בשבט, תשע״ד

#### **RECENT EVOLUTION OF CODES AND GUIDELINES**



#### GUIDELINES FOR THE ASSESSMENT AND THE REDUCTION OF SEISMIC RISK OF CULTURAL HERITAGE

- CHAP. 1: OBJECT OF THE GUIDELINES
- CHAP. 2: SAFETY AND CONSERVATION REQUIREMENTS
- CHAP. 3: SEISMIC ACTION
- CHAP. 4: BUILDING KNOWLEDGE
- CHAP. 5: MODELS FOR SEISMIC SAFETY ASSESSMENT
- CHAP. 6: SEISMIC IMPROVEMENT AND INTERVENTION TECHNIQUES CRITERIA
- CHAP. 7: RESUME OF THE PROCESS





#### **ITALIAN GUIDELINES**



interventions designed to "<u>improve</u>", not necessarily to "retrofit" the structural performance of CH constructions

#### Multidisciplinary decision process based on both structural analysis and guantitative evaluations

<u>ASSESSMENT - IMPROVEMENT</u> ↔ <u>VERIFICATION - RETROFITTING</u>

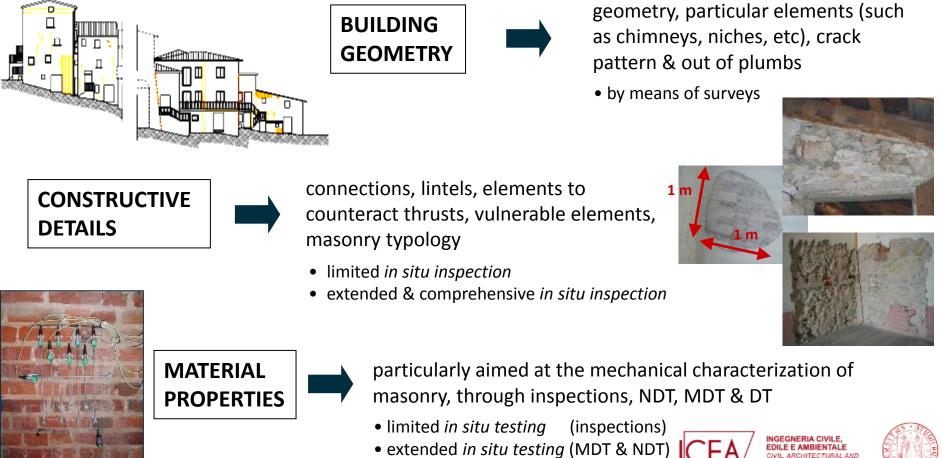




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#### <u>Italian Guidelines – § 4 – Building knowledge</u>

To carry out the structural analyses, it is necessary to gain **proper knowledge** by means of surveys, historical researches, in-situ and laboratory tests:



comprehensive in situ testing (DT)

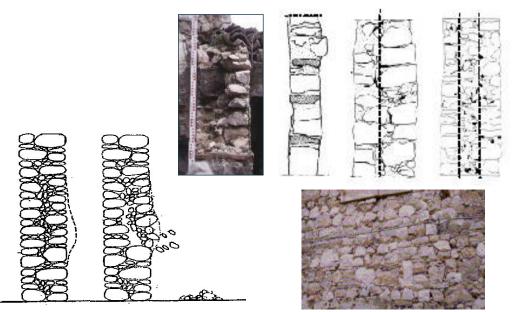
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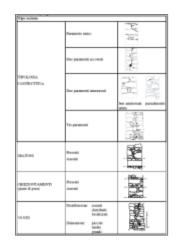
#### <u>Italian Guidelines – § 4 – Building knowledge</u>

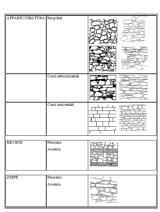
It Is possible to refer to abaci for the evaluation of the quality and bearing capacity of **masonry typologies** 

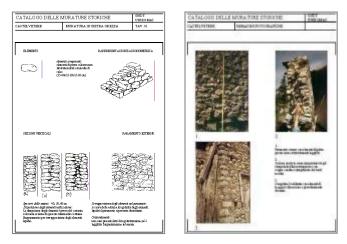
Heterogeneous masonry built up with poor materials, presence of voids, irregularities, multi-leaf sections, absence of connections

Out-of-plane brittle collapses









Survey forms: frequent local masonry typologies





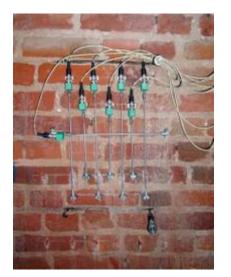


#### **Examples of investigation techniques to control the efficiency of repairs**

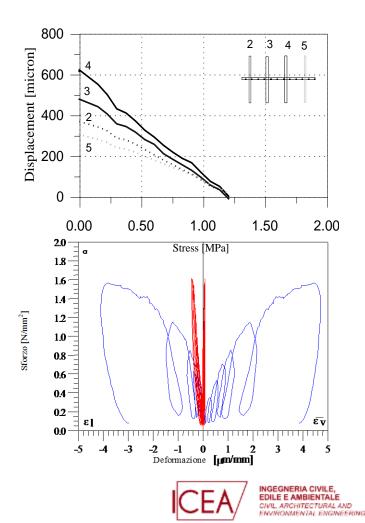
#### Minor destructive tests (MDT): Flat jack test



Single flat-jack test (detection of state of stress) carried out at the Monza Tower (Binda, 1998)

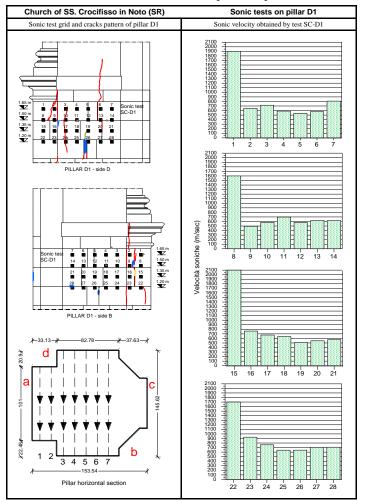


Double flat-jack test (stressstrain behaviour) on West side of the Monza Tower (Binda, 1998)

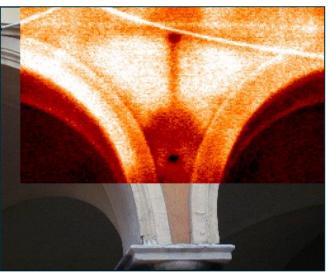




#### **Examples of investigation techniques for knowledge and control of repairs**

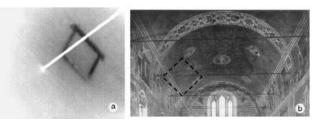


#### Non destructive tests (NDT): Sonic test



Thermovision

Investigation on hidden steel tie rods



Detention of a modified opening



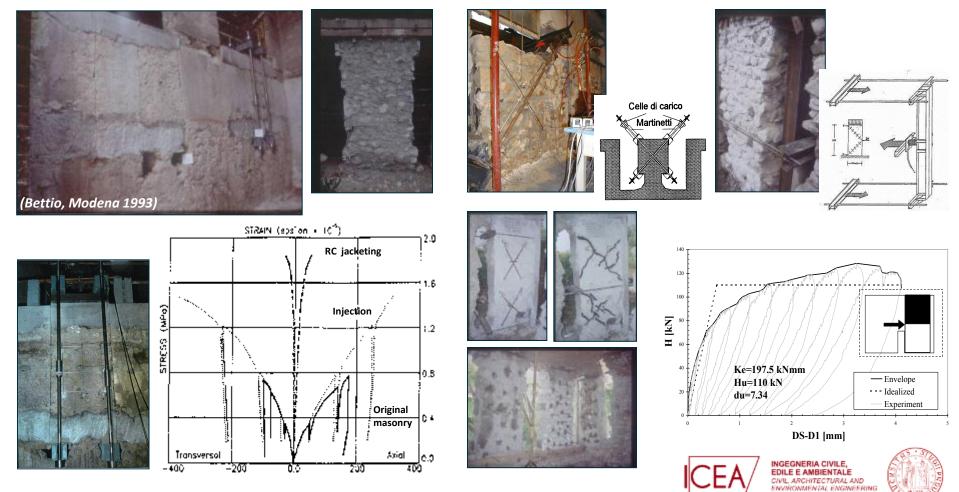
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#### **Examples of investigation techniques for knowledge and control of repairs** Destructive tests (DT) realised before and after strengthening intervention

Mechanical characteristics for vertical actions

Mechanical characteristics for horizontal and vertical actions

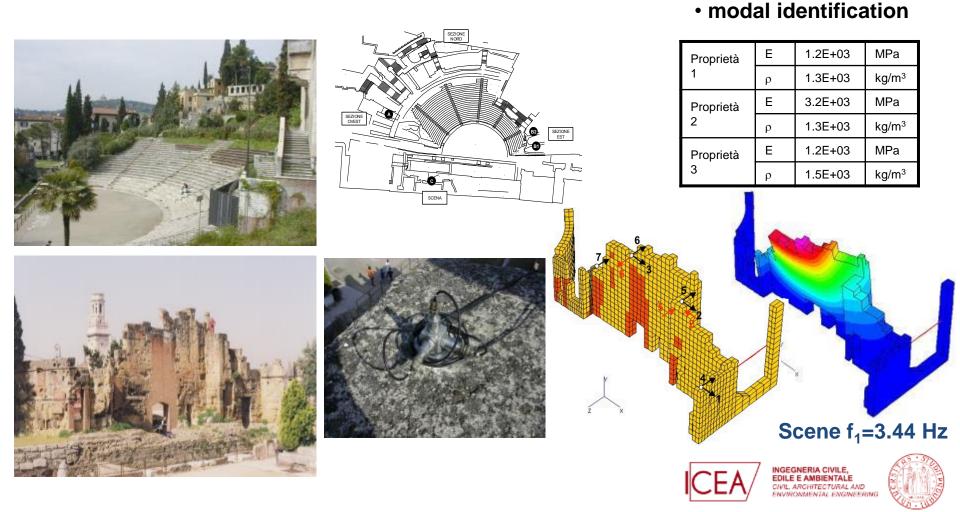


shock test

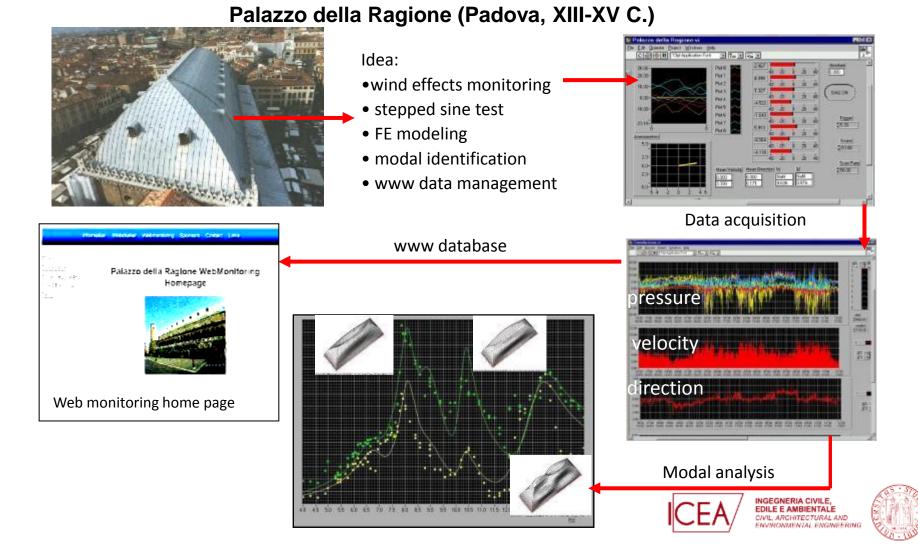
stepped sine test

#### **Examples of modal identification for knowledge**

**Roman Theatre (Verona)** 



#### **Examples of monitoring systems for knowledge and control of repairs**



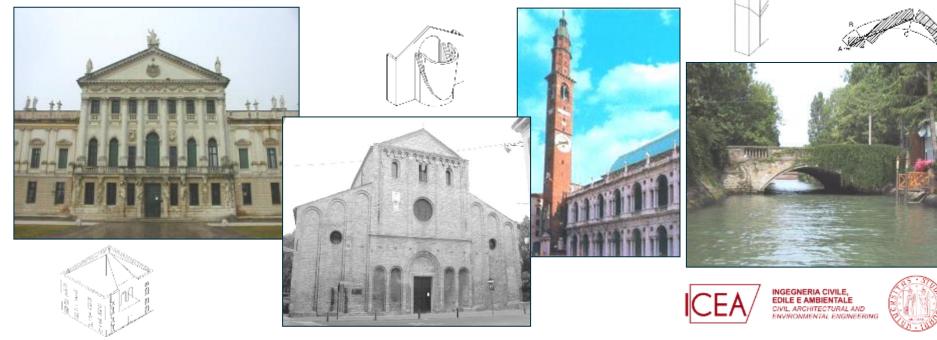
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#### Italian Guidelines – § 5 – Models for seismic safety assessment

#### **Modelling for typologies**

Simplified tools (for **LV1** level of assessment) are given for the analysis and modelling of buildings that can be ascribed to specific constructive typologies:

Palaces, villas, and other buildings with in-between horizontal floors and load bearing wall
 Churches, oratories, and other buildings with large rooms without in-between floors
 Towers, bell-towers and other buildings with main vertical length
 Masonry bridges, triumphal arches and other arch and vaulted structures

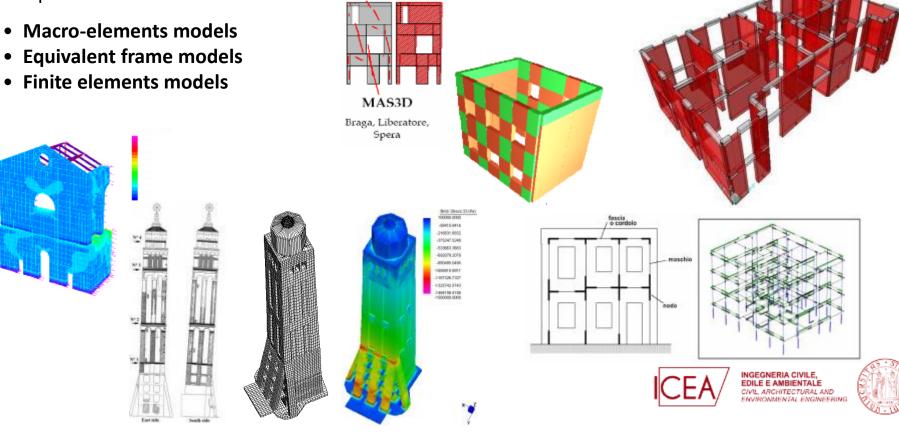


#### Italian Guidelines – § 5 – Models for seismic safety assessment

#### Structural modelling and seismic analysis methods

For existing masonry buildings it is possible to consider **various analysis methods**, according to the considered **appropriate model** which describe the structure and its seismic behaviour.

It is possible to consider:



#### EXTENSIVE EXPERIMENTAL RESEARCHES TO VALIDATE REPAIR/STRENGTHENING TECHNIQUES

Shaking table tests on out-of-plane behavior of single structural elements: stone masonry wall



condition

0.25g



Strengthened using ties 0.45g

Strengthened using injection 0.60g



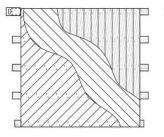
Strengthened using ties and injection 0.75g

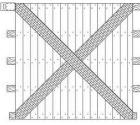
CHITECTURAL AND

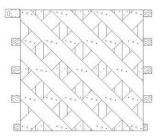


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- **Different strenghetning systems** (plankings, diagonals, nets, ..) and materials (wood, earth, FRP, Natural fibres) applied at the extrados, for a total of **35 laboratory tests**
- High performance obtained for wooden **planking** (45°, single or double) both for strength and deformation capacity
- The **shear stiffness** of the joist ceiling is principally influenced by the planking thickness
- The **shear capacity** of the floors is linearly related with the strength of the fasteners
- Proper double **planking** provides stiffness capable to redistribute horizontal loads to bearing walls, comparable to the effect of more modern floors















#### Strengthening materials: CFRP, SRP, SRG, BTRM







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#### **SEISMIC RISK MITIGATION: plans / strategies - territorial level**

#### - Historic centres

#### - Industrial areas

- Infrastructures

- Archaeological sites







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#### **URBAN CENTERS: SIMPLIFIED METHODOLOGIES BASED ON MECHANICAL MODELS**

Automatic procedures for the systematic assessment of the existing masonry buildings vulnerability developed by the University of Padova:

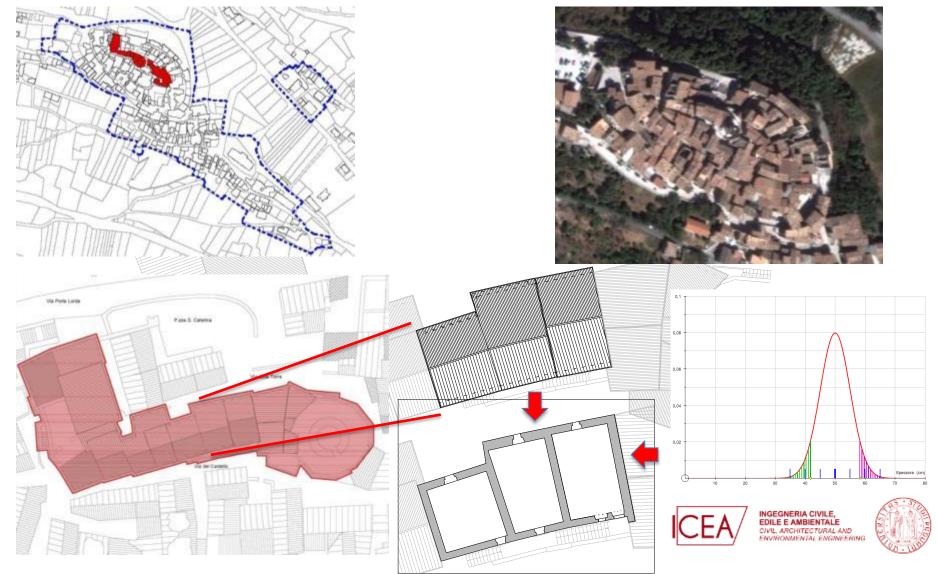
<u>Vulnus</u>: global seismic vulnerability analysis (vulnerability assessment and damage probability) of isolated or clustered masonry buildings through different in plane and out of plane mechanisms combinations and qualitative informations.

**<u>c-Sisma</u>**: local analysis of vulnerability through the application of single kinematic models applied to the more significant macroelements. It also performs the safety analysis according to the Italian regulation



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#### SIMPLIFIED METHODOLOGIES BASED ON REMOTE SENSING DATA PROCESSING



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#### SIMPLIFIED METHODOLOGIES BASED ON MECHANICAL MODELS

#### **SURVEY FORMS**

- Scheda di 1° livello di rilevamento Danno, pronto intervento e Agibilità per edifici ordinari nell'Emergenza post-Sismica (AeDES)
  - typological and damage in the emergency phase
  - physical evaluation of the damage
  - conformity to standards analysis
- Scheda di rilievo per la catalogazione delle caratteristiche tipologiche, della vulnerabilità e del danno (PoliMI):
  - in site geometrical and damage survey
  - qualitative masonry information
  - in site and laboratory tests

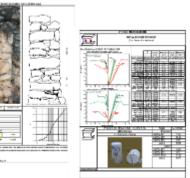
#### Scheda di vulnerabilità di 2°livello (muratura) – G.N.D.T.:

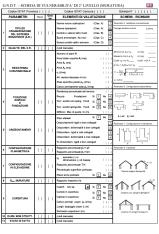
sum of factors which define the vulnerability index:

- definition of the vulnerability classes for different parameters
- data quality information assessment

# 













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#### SIMPLIFIED METHODOLOGIES BASED ON MECHANICAL MODELS MARVASTO PROJECTS – VALPARAISO (CILE)

Speed seismic vulnerability schedule for the survey of 70 buildings of the Cerro Cordillera in Valparaiso (UNESCO zone):

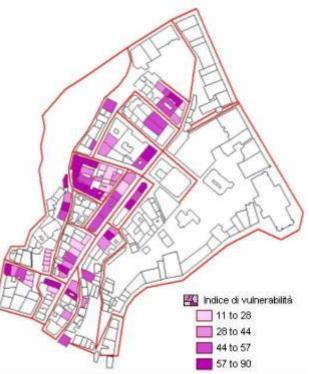
• definition and classification of 11 parameters;

• weighted and normalized average of the classes scores gives the  $I_V$  Vulnerability index.





Comune Valparaiso Cerro:				Edificio: ROL:		Foto:	
			Qual. Inf.	ELEMENTI DI VALUTAZIONE		SCHEMI E RICHIAMI	
1	TIPO ED ORGANIZZAZIONE DEL SISTEMA	11	11			A B C D	I. Residence conver. Editor     Solumento 505m 010     Ofice 010     Ofice 010     Ofice needs 515m 000     Ofice needs 515m 000     Ofice needs 010     Ofice 010     Angelo 010     Angelo 000     Tetata 000
2	QUALITA' DEL S.R.	11		1. Munstum mettoni 2. Munstum mista 3. Sistema mista m 4. Editol in adobe-k	unturn/adobe-legno	_	4. Isolato ⇒0.25 1. Orogenas ⇒1.0 2. Non orogenao ⇒0.5 Oualita 5.11, 52 ⇒ 2000 kg/m <sup>2</sup> Oualita 5.11, 54 ⇒1200 kg/m <sup>2</sup>
3	RESISTENZA CONVENZIONALE	11	11	<ul> <li>a) Statemento solo</li> <li>b) Posizione in agg</li> <li>c) Omogeneità agg</li> <li>d) Numero di pinui i</li> <li>e) Superficie copert</li> <li>f) Peso specifico per</li> </ul>	regato regato N s S		$C = \frac{g}{S^{2} \cdot \rho} \begin{bmatrix} 2 \cdot 01 \rightarrow 0 \\ < 01 \rightarrow 0.5 \end{bmatrix}$ $\alpha = \frac{\frac{g}{2}}{4} + \frac{1}{4}$ 6. Configurations placements
4	POSIZIONE EDIFICIO E FONDAZIONE	11	11	<ul> <li>a) Pendenze percer</li> <li>b) Differenze mex q</li> <li>c) Roccia (SIN)</li> <li>d) Terreno sciulto n</li> <li>e) Terreno sciulto n</li> </ul>	on spingente (SIN)		
\$	ORIZZONTAMENTI	11		3. Orizzontam. rigid	gid e ben collegad mabil e ben collegad	_	
6	CONFIGURAZIONE PLANIMETRICA	11	11	Rapporto percentas Rapporto percentas	nin p, = al (a) nin p, = bl (br)	_	
7	CONFIGURAZIONE IN ELEVAZIONE	11	П	1. Aumento o dimin 2. Superficie partice 3. T/H (%)		_	ഹിന്
ı	D STRUTTURA	11	11	Rapporto massimo	h ( K)		Coertineprot spharel Iterioda/R
•	COPERTURA	11	11	1. Copertura spinge 2. Catana e/o collag		_	
10	ELEMENTI NON STRUTTURALI		1 L	(Ved menusie)			CODECTMENT (Stringert) (Springert2)
11	STATO DI FATTO			(Vedi menusle)			



#### **METHODOLOGY APPLICATION: ITALIAN HISTORIC CENTRES**

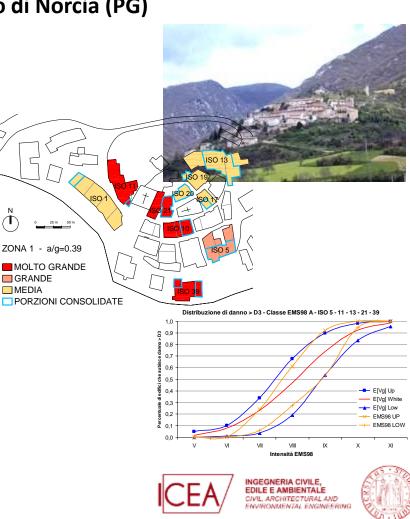
Montesanto, Roccanolfi, Campi Alto e Castelluccio di Norcia (PG) Vittorio Veneto (TV), Campo di Brenzone (VR) Sulmona (AQ)

• Complex clustered buildings analysis:

- concidering interaction because of the structural continuites

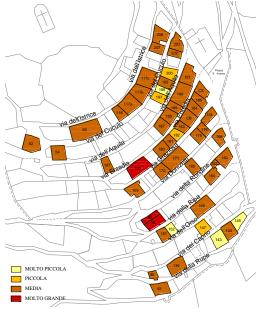
- individuation of the structural seismic units (U.S.); global simplified evaluation of the seismic capacity

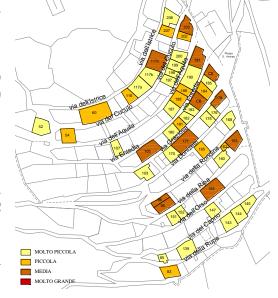
- Elaboration of useful post seismic condition tools for the Protezione Civile and the public administrations for the reduction of the seismic vulnerability on an urban scale:
  - vulnerability maps
  - fragility curves
  - damage scene



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#### Linguistic assessment of vulnerability MEDIUM (a/g = 0,32) and VERY SMALL (a/g = 0,19)





a/g = 0,19

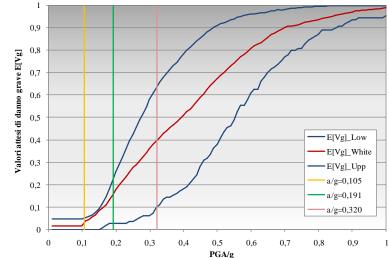
0 U.S.

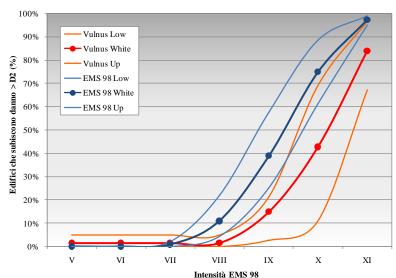
1 U.S.

49 U.S.

 $\Rightarrow$  E[Vg] > 40%

⇒ E[Vg] ≈ 15%





#### a/g = 0,32

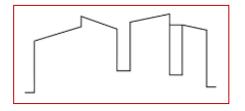
- *a/g* = 0,32 (NTC2008)
- *a/g* = 0,19 (storico)
  - Classe EMS98A:
  - Classe EMS98B:
  - Classe EMS98C:



#### **APPLICATION ON DAMAGE SCENARIOS: RECONSTRUCTION PLANS IN ABRUZZO**







SANTO STEFANO DI SESSANIO

#### CASTELVECCHIO CALVISIO









#### CASTEL DEL MONTE





VILLA SANTA LUCIA DEGLI ABRUZZI







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Università degli Studi di Padova SETTE AZIONI PER AGGIORNARE IL P.T.R.C. adottato



#### **REGIONAL COORDINATION TERRITORIAL PLAN**

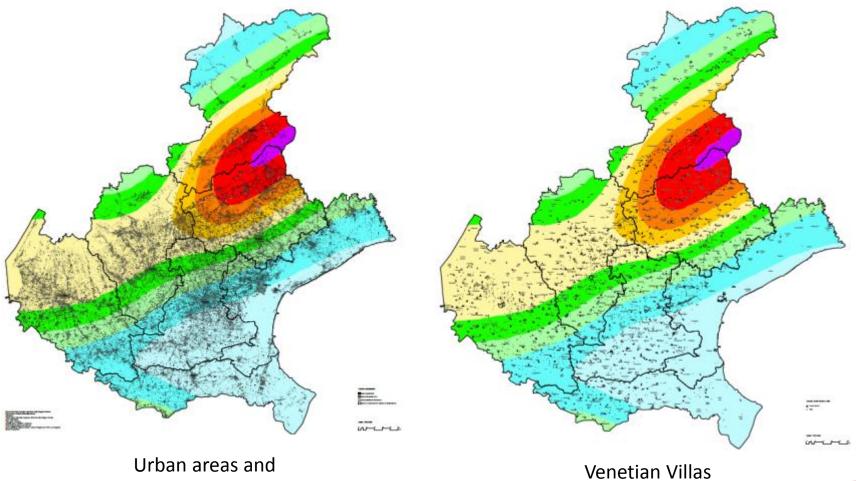
Agreement for the definition of general criteria for seismic vulnerability assessment of systems (historic and urban centres, industrial areas, infrastructural systems) on a regional scale and for mitigation of seismic risk in areas with a significant hazard







- Development of strategies for seismic risk reduction in the framework of the hierarchical planning system



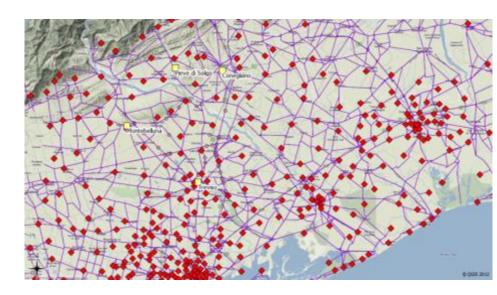
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historic centres

## THE MODELS TO PERFORM SEISMIC RISK ANALYSIS (SRA) FOR BUSINESS AND TRANSPORTATIONS LOSSES

- Hazard
  - Scenario Earthquake
- Vulnerability:
  - Bridge
  - Industrial building
- Risk:
  - Loss of production





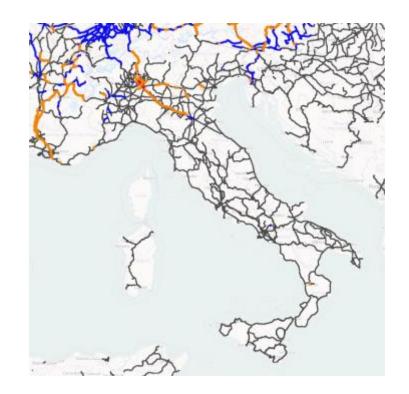






## THE MODELS TO PERFORM SEISMIC RISK ANALYSIS (SRA) FOR BUSINESS AND TRANSPORTATIONS LOSSES

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  - Industrial building
- Risk:
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  - Transportation







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#### **A GENERAL LOSS MODEL FOR SEISMIC RISK ANALYSIS**

Loss = D + I

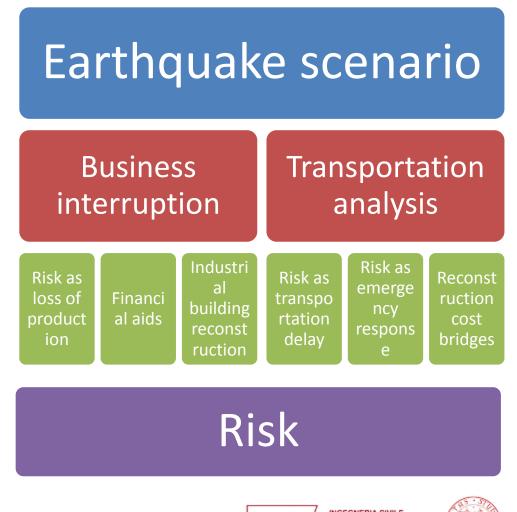
D = Bu + Br

I = Time + Product

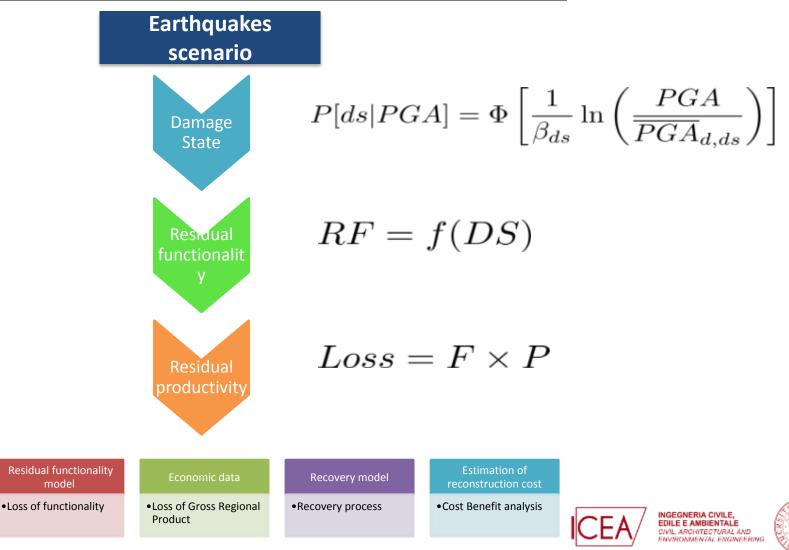
D: Direct losses I:Indirect losses Bu: Direct losses connected to reconstruction cost in buildings Br: Direct losses in term of bridge recontruction/rehabilitation cost

Time: The increase in transportation network time can be monetized as: 15.00€/hour

Product: Loss of production due to earthquake damage to industrial facilities



#### **BUSINESS LOSSES - HOW TO DEAL WITH BUSINESS DAMAGE**



## BD = F(PR, RF, FR, E)

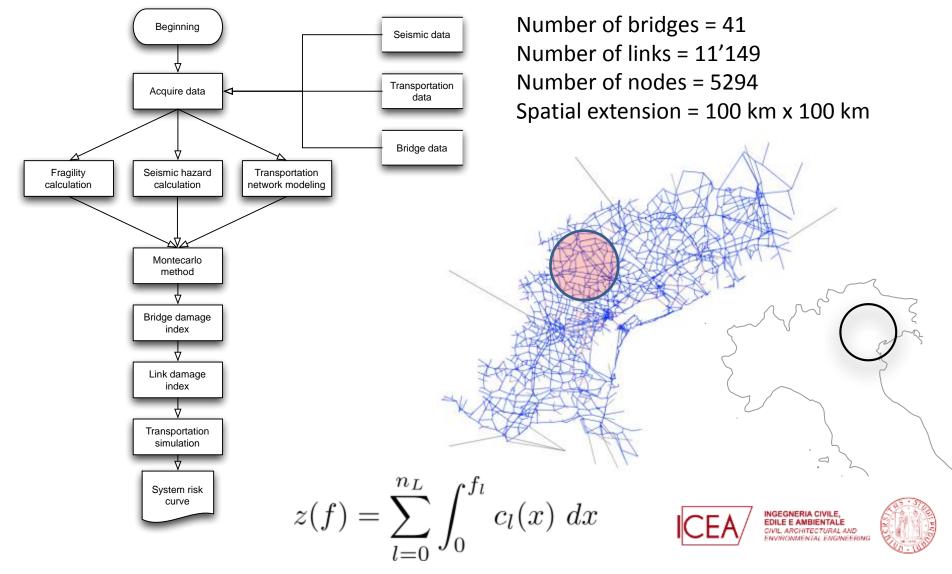
- BD: Business Damage
- PR: Residual Productivity
- RF: Residual Functionality
- FR: Fragility of building
- E: Earthquake





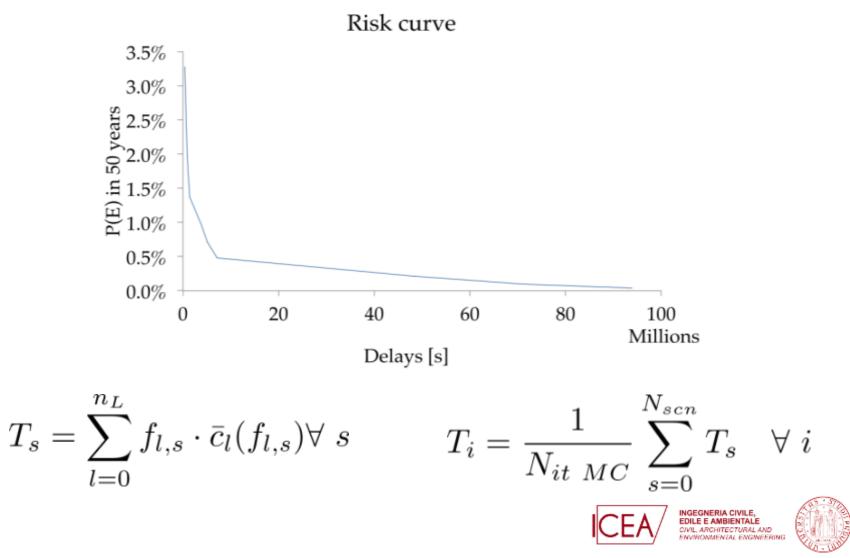


#### **TRANSPORTATION LOSSES - HOW TO MODEL THE TRANSPORTATION NETWORK**

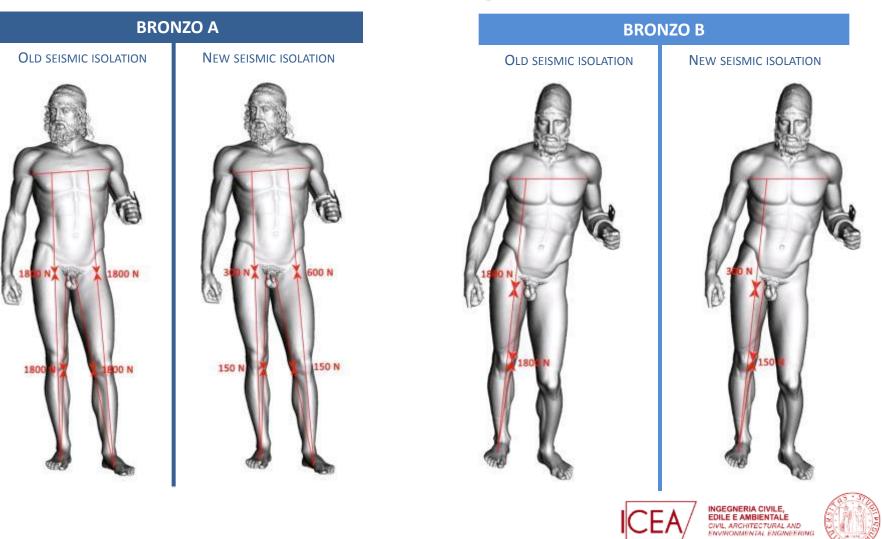


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#### THE FINAL RISK CURVE FOR INDIRECT LOSSES IN THE TRANSPORTATION

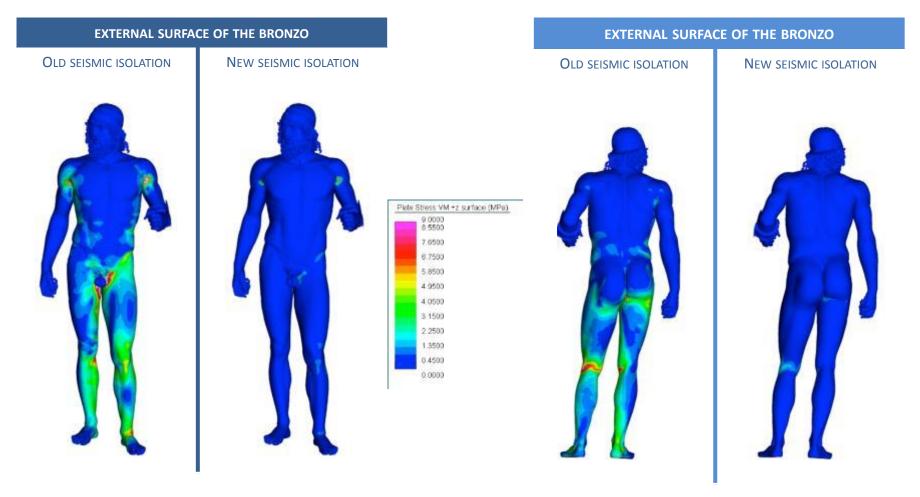


#### **SEISMIC RISK MITIGATION: artistic objects - museums**



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#### (I) STRESS DISTRIBUTIONS- VON MISES CRITERION (MPA)





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#### Seismic protection and mitigation measures for artworks

Museography design is generally conceived so that artworks can be displayed in a variety of manners:

- 1. works directly laid on the floor (statues)
- 2. works that are displayed inside bigger display cases
- 3. works directly laid on the podiums
- 4. works under a glass bell jar put on the podiums.

Damage to the collection of the Archaeological Museum in Kobe, Japan (1995)



Show cases <u>not adequately anchored</u> can **slide**, **rock** and/or **overturn** during earthquake and cause damages to the case itself and its content. On the other hand show cases, even adequately <u>bolted or</u> <u>anchored</u>, **can suffer high acceleration amplifications**, requiring a number of reliable anti-seismic devices (elastic net, clips, etc.) applied to the single item, or group of objects exhibited in the display case.

There are **many techniques available** to reduce potential non-structural earthquake damage:

-providing base isolation or seismic shock absorber for standing-alone showcases or large podia (*rolling bearing device, wheels on rails isolators, ...*);

-mitigation measures intended to increase resistance and structural redundancy of the case itself, like using of adequate anchor bolts to provide rigid anchorage to the floor, or bracing to the structural slab.





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#### **Anti-seismic devices for lightweight structures**

#### PRINCIPAL CHARACTERISTICS FOR A GENERAL ANTI-SEISMIC DEVICE:

- ability to support gravity loads, both under static and seismic conditions;
- high deformability (or low stiffness) in the horizontal direction under seismic actions;
- appropriate energy dissipation capacity;
- adequate resistance for the horizontal non-seismic actions.

Another important characteristic, although not essential, is:

• ability to re-centering, which allows to have no or negligible residual displacements at the end of the seismic actions.

#### UNSUITABILITY OF TRADITIONAL ANTI-SEISMIC DEVICES FOR LIGHTWEIGHT STRUCTURES:

**ELASTOMERIC DEVICES:** they are neither economically advantageous nor, in some cases, technically suitable, because *the bearing function is coupled* to *the reduction function of horizontal stiffness* 

With low values of mass to isolate (< 10 t) in fact, to achieve a sufficiently high natural period of vibration is possible to act on two device's parameters: increase the height or decrease the plan size of device  $\rightarrow$  increase of the slenderness ! IT IS BETTER TO SEPARATE FUNCTIONS INTO:

- bearing of load
- accommodation of horizontal displacement
  - + provision of a restoring force

sliding / rolling bearings
+ dished tracks or auxiliary springs



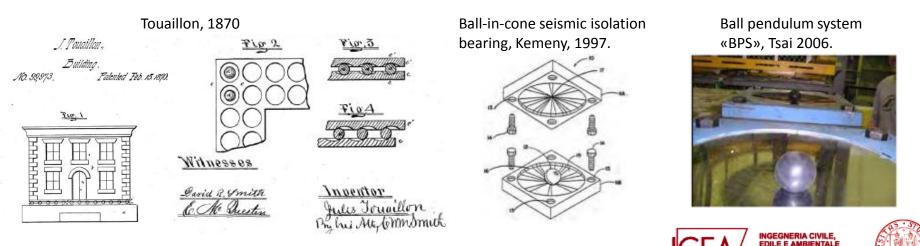


#### **Anti-seismic devices for lightweight structures**

Snag: sliding bearings are rigid until a threshold force; this would leads to have an amplification of the seismic excitation on the artwork for small intensity earthquake. Instead, rolling devices tend to have too little rolling resistance.

So the idea is to provide **rolling devices** with **damping** by using **rubber layers** on rollers or tracks, or using other **frictional materials**, or with some **auxiliary damping devices** (that work in parallel). For the **restoring force**, generally, it is used rubber or steel coil **spring**, or **no-flat rolling plane**.

The first patent about the rolling ball devices is by *Touaillon in 1870* (see *Tsai et al., 2010, Earthq Eng & Eng Vib, 9: 103-112*). Other studies were performed by other researchers, as Schar (1910), Cummings (1930), Bakker (1935), Wu (1989), Kemeny (1997), Tsai (2006) ...



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Progetto PROVACI

Tecnologie per la **PRO**tezione sismica e la **VA**lorizzazione di Complessi di Interesse culturale

IL PROGETTO DI RICERCA

Sviluppo di tecniche e metodologie integrate per la protezione sismica, la tutela, la riqualificazione sostenibile e la valorizzazione di siti e strutture di interesse storico-artistico

9 aprile 2010

Development of sustainable techniques and methodologies for seismic protection, sustainable redevelopment and valorization of masonry buildings and archeological sites

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Seismic Risk Preparedness and Mitigation of Culture Heritage Sites מוכנות והיערכות לסיכוני רעידות אדמה באתרי מורשת תרבות Israel, Jerusalem. 19-20 January 2014 ירושלים. יח'-יט' בשבט, תשע״ד

#### **AGREEMENT AND PROJECTS WITH PUBLIC AUTHORITIES**

- •ARCUS Seismic vulnerability analysis of Public National Museums and art objects, MIBAC
- •Seismic vulnerability assessment of the regional road network Regione Veneto
- •Seismic vulnerability assessment by macro-classes of railway masonry bridges, RELUIS-RFI
- •Vulnerability assessment of historic centres, public authorities and National Civil Defense
- •Seismic analysis of relevant and strategic buildings (level 1 and level 2, OPCM 3274 e il DPCM 21/10/03), public authorities and National Civil Defense

•Activities related to L'Aquila (6/4/2009) and Emilia Romagna/Veneto/Lombardia (May 2012) earthquakes, Agreements with Regional Directorates of MIBAC, Municipalities, Technical Service for Reconstruction



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## THANK YOU!

SPEAKER: PROF. CLAUDIO MODENA





